



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Satoshi NOGAKI	Docket:	13434
Serial No.:	09/516,139	Examiner:	Unassigned
Filed:	March 1, 2000	Group:	2713
For:	VIDEO IMAGE CODING APPARATUS	Dated:	August 14, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. 7-303252, dated November 14, 1995;
2. Japanese Laid-Open Patent Application No. 6-113274, dated April 22, 1994;
3. Japanese Laid-Open Patent Application No. 9-294262, dated November 11, 1997;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on August 14, 2002.

Dated: August 14, 2002


Michelle Mustafa

4. Japanese Laid-Open Patent Application No. 8-18955, dated January 19, 1996;
5. Japanese Laid-Open Patent Application No. 6-217284, dated August 5, 1994; and
6. Japanese Laid-Open Patent application No. 3-267877, dated November 28, 1991.

The references listed above were cited in an Official Action dated June 11, 2002, received from the Japanese Patent Office. Applicant is submitting copies of the above references, together with a translation of the Examiner's comments regarding all of the references from the Official Action. Please note that one of the references cited in the Office Action, namely, Japanese Laid-Open Application No. 5-304663, dated November 16, 1993, was previously submitted in applicant's Information Disclosure Statement dated April 5, 2000. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'P. Esatto, Jr.', written in a cursive style.

Paul J. Esatto, Jr.
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2613 2413

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. #4
13434 8/13/02

In Re Application Of: Satoshi NOGAKI

Serial No.
09/516,139

Filing Date
March 1, 2000

Examiner
Unassigned

Group Art Unit
2713

Title: VIDEO IMAGE CODING APPARATUS

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
13434

In Re Application Of: **Satoshi NOGAKI**

Serial No.
09/516,139

Filing Date
March 1, 2000

Examiner
Unassigned

Group Art Unit
2713

Title: **VIDEO IMAGE CODING APPARATUS**

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited on **August 14, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

***This certificate may only be used if paying by deposit account.**

Dated: **August 14, 2002**

Signature

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CC: